

# An Efficient Algorithm for Learning Event-Recording Automata

Shang-Wei LIN, Étienne André, Jin Song DONG, Jun SUN, and  
Yang LIU

Department of Computer Science  
School of Computing  
National University of Singapore

October 14, 2011

# Motivation

Why is learning of models important?

- ▶ Automatic inference or construction of abstract models

# Outline

The  $L^*$  Algorithm

Timed Language and Event-Recording Automata

The  $TL^*$  Algorithm

Conclusion and Future Work

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The  $L^*$  Algorithm

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# The $L^*$ Algorithm

The  $L^*$  algorithm is a formal method to learn a minimal DFA that accepts an unknown language  $U$  over an alphabet  $\Sigma$ .

- ▶ D. Angluin, "Learning regular sets from queries and counterexamples," *Information and Computation* 75 (1987), no. 2, 87-106.

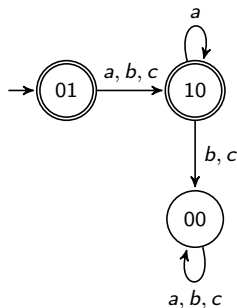
The  $L^*$  algorithm interacts with a Minimal Adequate *Teacher*

- ▶ *membership query*
  - ▶ Is a string in the unknown language  $U$ ?
- ▶ *candidate query*
  - ▶ Does a DFA accept the unknown language  $U$ ?

# The L\* Algorithm (cont.)

The unknown language  $U = (a \mid b \mid c) \cdot a^*$

	$\lambda$	$c$
$\lambda$	0	1
$a$	1	0
$b$	1	0
$c$	1	0
$a \cdot a$	1	0
$a \cdot b$	0	0
$a \cdot c$	0	0
$ab \cdot a$	0	0
$ab \cdot b$	0	0
$ab \cdot c$	0	0



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# Timed Language

Let  $\Sigma$  be a finite alphabet.

For every symbol (event)  $a \in \Sigma$ , we use  $x_a$  to denote the *event-recording clock* of the symbol  $a$

- ▶  $x_a$  records the time elapsed since the last occurrence of the symbol  $a$
- ▶ We use  $C_\Sigma$  to denote the set of event-recording clocks over  $\Sigma$

An *atomic clock guard*  $\tau$  is an inequation of the form  $x_a \sim n$  for  $x_a \in C_\Sigma$ ,  $\sim \in \{<, \leq, >, \geq\}$ , and  $n \in \mathbb{N}$ .

- ▶ A *clock guard*  $g$  is a conjunction of atomic clock guards.
- ▶ We use  $G_\Sigma$  to denote the set of clock guards over  $C_\Sigma$

A *guarded word* is a sequence  $w_g = (a_1, g_1)(a_2, g_2) \cdots (a_n, g_n)$  where  $a_i \in \Sigma$  for  $i \in \{1, 2, \dots, n\}$  and  $g_i \in G_\Sigma$  is a clock guard.



# Event-Recording Automata

An *event-recording automaton* (ERA)  $D = (\Sigma, L, l_0, \delta, L^f)$  consists of

- ▶ a finite input *alphabet*  $\Sigma$
- ▶ a finite set of *locations*  $L$
- ▶ an *initial location*  $l_0 \in L$
- ▶ a *transition function*  $\delta : L \times \Sigma \times G_\Sigma \mapsto 2^L$
- ▶ a set of *accepting locations*  $L^f \subseteq L$

Each event-recording clock  $x_a \in C_\Sigma$  is implicitly and automatically *reset* when a transition with event  $a$  is taken.

## Event-Recording Automata (cont.)

An event-recording automaton  $D = (\Sigma, L, l_0, \delta, L^f)$  is *deterministic* if

- ▶  $\delta(l, a, g)$  is a singleton set when it is defined
- ▶ if both  $\delta(l, a, g_1)$  and  $\delta(l, a, g_2)$  are both defined, then  $[[g_1]] \cap [[g_2]] = \emptyset$

A guarded word  $w_g = (a_1, g_1)(a_2, g_2) \cdots (a_n, g_n)$  is *accepted* by an ERA  $D = (\Sigma, L, l_0, \delta, L^f)$  if

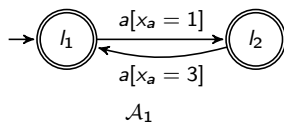
- ▶  $l_i = \delta(l_{i-1}, a_i, g_i)$  is defined for all  $i \in \{1, 2, \dots, n\}$
- ▶  $l_n \in L^f$

The *timed language* accepted by  $D$ , denoted by  $\mathcal{L}(D)$ , is the set of guarded words accepted by  $D$ .

## Event-Recording Automata (cont.)

The following ERA  $\mathcal{A}_1$  accepts the timed language

$$U_T = ((a, x_a = 1)(a, x_a = 3))^*$$



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# The TL\* Algorithm

The TL\* algorithm is a *timed* extension of the L\* algorithm.

The TL\* algorithm is a formal method to learn a minimal event-recording automaton (ERA) that accepts an *unknown timed language*  $U_T$  over an alphabet  $\Sigma$

- ▶ We use  $U$  to denote the *untimed language* of  $U_T$

## The TL\* Algorithm (cont.)

The TL\* algorithm has to interact with a Minimal Adequate *Teacher*

- ▶ *untimed membership query*  $Q_m$ 
  - ▶ Is an untimed word in the unknown untimed language  $U$ ?
- ▶ *untimed candidate query*  $Q_c$ 
  - ▶ Does a DFA accept the unknown untimed language  $U$ ?
- ▶ *timed membership query*  $Q_m^T$ 
  - ▶ Is a guarded word in the unknown timed language  $U_T$ ?
- ▶ *timed candidate query*  $Q_c^T$ 
  - ▶ Does an ERA accept the unknown timed language  $U_T$ ?

# The TL\* Algorithm (cont.)

The TL\* algorithm consists of two phases

- ▶ *Untimed Learning* Phase
  - ▶ The L\* algorithm is used to learn a DFA  $M$  accepting the untimed language  $U$
- ▶ *Timed Refinement* Phase
  - ▶ The DFA  $M$  is **refined** into an event-recording automaton (ERA) by adding **time constraints**

# The TL\* Algorithm (cont.)

input :  $\Sigma$ : alphabet,  $C_{\Sigma}$ : the set of event-recording clocks

output: a deterministic ERA accepting the unknown timed language  $U_{\mathcal{T}}$

Use  $L^*$  to learn a DFA  $M$  accepting  $U_{\mathcal{T}}$ ;

Let  $(S, E, T)$  be the observation table during the  $L^*$  learning process ;

Replace  $\alpha$  by  $(\alpha, true)$ ,  $s$  by  $(s, true)$ , and  $e$  by  $(e, true)$  for each  $\alpha \in \Sigma$ ,  $s \in S$  and  $e \in E$ ;

while *true* do

    if  $Q_c^T(M) = 1$  then return  $M$  ;

    else

        Let  $(a_1, g_1)(a_2, g_2) \cdots (a_n, g_n)$  be the counterexample given by Teacher ;

        foreach  $(a_i, g_i)$ ,  $i \in \{1, 2, \dots, n\}$  do

            if  $(a_i, g)$  is a substring of  $p$  or  $e$  for some  $p \in S \cup (S \cdot \Sigma)$  and  $e \in E$  such that

$[[g_i]] \subset [[g]]$  then

                    Let  $G = \{g_1, g_2, \dots, g_m\}$  obtained by  $[[g]] - [[g_i]]$  ;

$\Sigma = \Sigma \setminus \{(a_i, g)\} \cup \{(a_i, g_1), (a_i, g_2), \dots, (a_i, g_m)\}$  ;

                    Split  $p$  into  $\{\hat{p}_0, \hat{p}_1, \hat{p}_2, \dots, \hat{p}_m\}$  where  $(a_i, g_i)$  is a substring of  $\hat{p}_0$  and  $(a_i, g_j)$

                    is a substring of  $\hat{p}_j$  for all  $j \in \{1, 2, \dots, m\}$  ;

                    Split  $e$  into  $\{\hat{e}_0, \hat{e}_1, \hat{e}_2, \dots, \hat{e}_m\}$  where  $(a_i, g_i)$  is a substring of  $\hat{e}_0$  and  $(a_i, g_j)$

                    is a substring of  $\hat{e}_j$  for all  $j \in \{1, 2, \dots, m\}$  ;

                    Update  $T$  by  $Q_{mT}(\hat{p}_j \cdot \hat{e}_j)$  for all  $j \in \{0, 1, 2, \dots, m\}$  ;

        while there exists  $(s \cdot \alpha)$  such that  $s \cdot \alpha \not\equiv s'$  for all  $s' \in S$  do

$S \leftarrow S \cup \{s \cdot \alpha\}$  ;

            Update  $T$  by  $Q_{mT}((s \cdot \alpha) \cdot \beta)$  for all  $\beta \in \Sigma$  ;

$v \leftarrow WS((a_1, g_1)(a_2, g_2) \cdots (a_n, g_n))$  ;

        if  $|v| > 0$  then

$E \leftarrow E \cup \{v\}$  ;

            Update  $T$  by  $Q_{mT}(s \cdot v)$  and  $Q_{mT}(s \cdot \alpha \cdot v)$  for all  $s \in S$  and  $\alpha \in \Sigma$  ;

        Construct candidate  $M$  from  $(S, E, T)$  ;



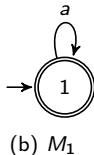
## An Example

Suppose  $U_T = ((a, x_a = 1)(a, x_a = 3))^*$  is the timed language to be learned.

Untimed Learning Phase

	$\lambda$
$\lambda$	1 ( $s_0$ )
$a$	1

(a)  $T_1$



	$\lambda$
$\lambda$	1 ( $s_0$ )
$(a, true)$	1

(c)  $T_2$

$$\mathcal{L}(M_1) = U = a^*$$

## An Example (cont.)

$Q_c^T(M_1) = 0$  with a negative counterexample  $(a, x_a < 1)$

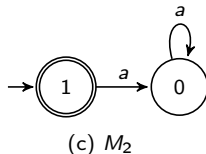
### Timed Refinement 1

$\lambda$	$\lambda$
	1 ( $s_0$ )
$(a, x_a < 1)$	0
$(a, x_a \geq 1)$	0

(a)  $T_3$

$\lambda$	$\lambda$
	1 ( $s_0$ )
$(a, x_a < 1)$	0 ( $s_1$ )
$(a, x_a \geq 1)$	0
$(a, x_a < 1)(a, x_a < 1)$	0
$(a, x_a < 1)(a, x_a \geq 1)$	0

(b)  $T_4$



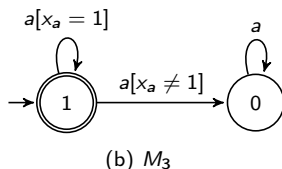
## An Example (cont.)

$Q_c^T(M_2) = 0$  with a positive counterexample  $(a, x_a = 1)$

### Timed Refinement 2

	$\lambda$
$\lambda$	1 ( $s_0$ )
$(a, x_a < 1)$	0 ( $s_1$ )
$(a, x_a = 1)$	1
$(a, x_a > 1)$	0
$(a, x_a < 1)(a, x_a < 1)$	0
$(a, x_a < 1)(a, x_a = 1)$	0
$(a, x_a < 1)(a, x_a > 1)$	0

(a)  $T_5$



## An Example (cont.)

$Q_c^T(M_3) = 0$  with a negative counterexample  $(a, x_a = 1)(a, x_a = 1)$

A suffix  $(a, x_a = 1)$  shows that  $\lambda$  and  $(a, x_a = 1)$  should not be in the same class

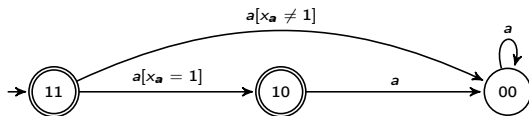
### Timed Refinement 3

	$\lambda$	$(a, x_a = 1)$
$\lambda$	1	1 ( $s_0$ )
$(a, x_a < 1)$	0	0 ( $s_1$ )
$(a, x_a = 1)$	1	0
$(a, x_a > 1)$	0	0
$(a, x_a < 1)(a, x_a < 1)$	0	0
$(a, x_a < 1)(a, x_a = 1)$	0	0
$(a, x_a < 1)(a, x_a > 1)$	0	0

(a)  $T_6$

	$\lambda$	$(a, x_a = 1)$
$\lambda$	1	1 ( $s_0$ )
$(a, x_a < 1)$	0	0 ( $s_1$ )
$(a, x_a = 1)$	1	0 ( $s_2$ )
$(a, x_a > 1)$	0	0
$(a, x_a < 1)(a, x_a < 1)$	0	0
$(a, x_a < 1)(a, x_a = 1)$	0	0
$(a, x_a < 1)(a, x_a > 1)$	0	0
$(a, x_a = 1)(a, x_a < 1)$	0	0
$(a, x_a = 1)(a, x_a = 1)$	0	0
$(a, x_a = 1)(a, x_a > 1)$	0	0

(b)  $T_7$



(c)  $M_4$

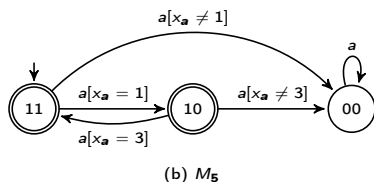
# An Example (cont.)

$Q_c^T(M_4) = 0$  with a positive counterexample  $(a, x_a = 1)(a, x_a = 3)$

## Timed Refinement 4

$\lambda$	$\lambda$	$(a, x_a = 1)$
	1	1 ( $s_0$ )
$(a, x_a < 1)$	0	0 ( $s_1$ )
$(a, x_a = 1)$	1	0 ( $s_2$ )
$(a, 1 < x_a < 3)$	0	0
$(a, x_a = 3)$	0	0
$(a, x_a > 3)$	0	0
$(a, x_a < 1)(a, x_a < 1)$	0	0
$(a, x_a < 1)(a, x_a = 1)$	0	0
$(a, x_a < 1)(a, 1 < x_a < 3)$	0	0
$(a, x_a < 1)(a, x_a = 3)$	0	0
$(a, x_a < 1)(a, x_a > 3)$	0	0
$(a, x_a = 1)(a, x_a < 1)$	0	0
$(a, x_a = 1)(a, x_a = 1)$	0	0
$(a, x_a = 1)(a, 1 < x_a < 3)$	0	0
$(a, x_a = 1)(a, x_a = 3)$	1	1
$(a, x_a = 1)(a, x_a > 3)$	0	0

(a)  $T_8$



## An Example (cont.)

$$Q_c^T(M_5) = 1, \text{ i.e., } \mathcal{L}(M_5) = U_T$$

The learning process of TL\* is finished

## Analysis of TL\*

Given a timed language  $U_T$  accepted by an ERA

$\mathcal{A} = (\Sigma, L, l_0, \delta, L^f)$ , the TL\* algorithm needs to perform

- ▶  $O(|\Sigma| \cdot |G_{\mathcal{A}}| \cdot |L|^2 + |L| \log |\pi|)$  timed membership queries
  - ▶  $\pi$  is the counterexample given by Teacher
  - ▶  $G_{\mathcal{A}}$  is the set of clock zones partitioned by the clock guards appearing in  $\mathcal{A}$
- ▶  $O(|L| + |\Sigma| \cdot |G_{\mathcal{A}}|)$  timed candidate queries

Grinchtein's  $TL_{sg}^*$  needs  $O(|\Sigma \times G_{\Sigma}| \cdot n^2 |\pi| \cdot |w| \binom{|\Sigma|+K}{|\Sigma|})$  timed membership queries

- ▶  $n$  is the number of locations of the learned ERA
- ▶  $w$  is the longest guarded word queried
- ▶  $K$  is the largest constant appearing in the clock guards

## Analysis of $TL^*$ (cont.)

### Theorem

*The  $TL^*$  algorithm is correct.*

### Theorem

*The  $TL^*$  algorithm terminates.*

### Theorem

*Assume the observation table  $(S, E, T)$  is closed and consistent and  $M = (\Sigma, L, l^0, \delta, L^f)$  is the ERA constructed from the observation table  $(S, E, T)$ . If  $M' = (\Sigma, L', l^{0'}, \delta', L^{f'})$  is any other ERA consistent with  $T$ , then  $M'$  has at least  $|L|$  locations.*



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## Conclusion

- ▶ We proposed an efficient polynomial time algorithm, TL\*, for learning event-recording automata (ERA).
- ▶ The TL\* algorithm has been implemented in the PAT model checker.

## Future Work

- ▶ To extend the TL\* algorithm to learn other subclasses of timed automata
- ▶ To automate assume-guarantee reasoning (AGR) for timed systems, we plan to use TL\* to automatically generate timed assumptions needed for AGR.